



TRANSFERRED to EPA for DIRECT REPLY

E2

Climate Change Division

Office of Policy, Planning, and Evaluation (OPPE)

United States Environmental Protection Agency (EPA)

Mall Code 2122

401 M Street SW, Washington, DC 20460

NO OBJECTION TO FULL RELEASE

To: Dan Reifsnnyder
 Organization: State Dept - OES/EGC
 Phone: _____
 Fax: 647-0191

From: Beth Sciumera / Dennis Tirpak
 Organization: EPA/OPPE/Climate Change Division
 Phone: 202-260-8825
 Fax: 202-260-8405

Date: 11/29/94
 Pages Including this cover page: 5

Comments:

Attached are memos that document the purpose of funding provided by EPA in FY94. They can be summarized as follows

125K Regular Contribution for IPCC/INC
 50K INC Special Contribution for National Plans
 8K Travel for OES

183K

100 25K Inventories workshop in Asia Pacific region
 100 5K Travel costs for Dr. William Cline

30K

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

TO 9/4/13

E28

April 6, 1994

TRANSFERRED to EPA for direct reply

OFFICE OF
THE ADMINISTRATOR

NO OBJECTION TO FULL RELEASE

MEMORANDUM

SUBJECT: Amendment to Interagency Agreement with the Department of State to Support the Intergovernmental Panel on Climate Change (DW19933945)

FROM: Dennis Tirpak, Director
Climate Change Division

TO: Richard Morgenstern, Director
Office of Policy Analysis

Attached is a request for an increase in funds for an interagency agreement with the Department of State in the amount of \$183,000. The Climate Change Division has been supporting the effort of the Intergovernmental Panel on Climate Change (IPCC) to assess the science, impacts and response strategies concerning global climate change. The proposed funding for FY94 will also support the International Negotiating Committee (INC) and their efforts to build on the progress made with the negotiation of the Framework Convention on Climate Change.

Attached are seven tables provided by the State Department containing detailed information on the proposed U.S. contribution including a proposed breakdown by agency. EPA's commitment for FY94 is \$183,000 distributed among four offices as follows: OPPE \$93K, ORD \$35K, OAR \$35K, OIA \$20K. If you have any questions concerning this amendment, please contact Beth Sciumeca at 260-2776.

Approved


Richard Morgenstern

Date

4/6/94

Disapproved

Richard Morgenstern

Date



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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
POLICY, PLANNING AND EVALUATION

TRANSFERRED to EPA for
DIRECT REPLY

July 8, 1994

MEMORANDUM

SUBJECT: Amendment to Interagency Agreement with the Department of State to Support the Intergovernmental Panel on Climate Change

FROM: Dennis Tirpak, Director
Climate Change Division

NO OBJECTION TO FULL RELEASE

TO: Richard D. Morgenstern, Director
Office of Policy Analysis

I am requesting an increase in funds the amount of \$25,000 for an interagency agreement with the Department of State. The interagency agreement supports the efforts of the Intergovernmental Panel on Climate Change (IPCC) to assess the science, potential impacts of, and possible response strategies to global climate change. The agreement also supports the Intergovernmental Negotiating Committee (INC) and their efforts made to build on the progress made with the negotiation of the Framework Convention on Climate Change (FCCC).

The specific purpose of this increase in funding is to support a workshop on emission inventories in the Asia Pacific region and the funds are from the country studies program. If you have any questions concerning this amendment, please contact Jack Fitzgerald (260-3631) or Paige Kemmitt (260-4692).

Approved: Richard D. Morgenstern

Date: 7/13/94

Disapproved: Richard D. Morgenstern

Date: _____



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

grants 6/22/94
E2D

OFFICE OF
POLICY, PLANNING AND EVALUATION

MEMORANDUM

SUBJECT: Amendment to Interagency Agreement with the
Department of State to Support the Inter-
governmental Panel on Climate Change
(DW19933945)

FROM: Dennis A. Tirpak, Director *gdf/6/22/94*
Climate Change Division

TRANSFERRED to EPA for DIRECT REPLY

TO: Richard D. Morgenstern, Director
Office of Policy Analysis (2121)

NO OBJECTION TO FULL RELEASE

Attached is a request for an increase in funds for an interagency agreement with the Department of State in the amount of \$5000. The Climate Change Division has been supporting the efforts of the Intergovernmental Panel on Climate Change (IPCC) to assess the science, impacts, and response strategies concerning global climate change. Specifically, this increment will reimburse State for travel costs incurred by Dr. William R. Cline, of the Institute for International Economics, during his participation in meetings of Work Group III.

If you have any questions, please call Beth Sciumeca at 260-2776 or Paige Kemmitt at 260-4692.

Approved: *Richard D. Morgenstern* Date: 6/22/94
Richard D. Morgenstern

Disapproved: _____ Date: _____
Richard D. Morgenstern



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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460**TRANSFER to EPA for DIRECT REPLY**

E7

AUG 3 1994

Mr. John L. McGruder
Executive Director
U.S. Department of State
Room 7821, OES/EX
2201 C Street, N.W.
Washington, D.C. 20520

OFFICE OF
ADMINISTRATION
AND RESOURCES
MANAGEMENT

NO OBJECTION TO FULL RELEASE

EPA Reference: DW19933945-01-9

Dear Mr. McGruder:

I am forwarding to you for review and acceptance or rejection two signed copies of an amendment to an interagency agreement entitled "Support of the Intergovernmental Panel on Climate Change."

If you wish to accept the amendment, please have your authorizing official sign both copies and return one signed copy to the address in Block 29 of the EPA Form 1610-1. The other copy with official signatures should be retained by your agency. Your early attention will be appreciated. Please return the signed acceptance for this amendment before September 30, 1994, so that the funds may be obligated.

Please reference the EPA interagency agreement number in future correspondence on this agreement. If you have any questions on the administrative management of this agreement, please contact Felicia Tarver, of my staff, on (202) 260-4392.

Sincerely,

W. Scott McMoran, Chief
Grants Information & Analysis Branch
Grants Administration Division

Enclosures

cc: Dennis Tirpak, 2122
Paige Kemmitt, 2122
Sharon W. Stanley, DOS



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ETA

Page 1 of 3

United States Environmental Protection Agency Washington DC 20460		1. EPA / IAG Identification Number DW19933945-01-9		4. Funding Location by Region HQ			
EPA Interagency Agreement / Amendment		2. Other Agency ID Number		5. Program Office Abbreviation OPPE/HQ			
Part I - General Information		3. Type of Action AUGMENTATION INCR.					
6. Name and Address of EPA Organization US ENVIRONMENTAL PROTECTION AGENCY OPPE/OPA/CCD (2122) 401 M STREET, S.W. WASHINGTON, DC 20460		7. Name and Address of Other Agency STATE DEPARTMENT OF ROOM 7821, OES/EX 2201 C ST NW WASHINGTON, DC 20520					
8. Project Title Support of the Intergovernmental Panel on Climate Change							
9. EPA Project Officer (Name, Address, Telephone Number) TIRPAK, DENNIS USEPA/OPPE/OPA/CCD (2122) 401 M STREET, S.W. WASHINGTON, DC 20460 (202) 260-8825			10. Other Agency Project Officer (Name, Address, Telephone Number) MCGRUDER, JOHN L., EXECUTIVE DIRECTOR DEPARTMENT OF STATE, ROOM 7821, OES/EX 2201 C STREET, N.W. WASHINGTON, DC 20520 (202) 647-3622				
11. Project Period 03/15/89 to 09/30/95			12. Budget Period 10/01/93 to 09/30/95				
13. Scope of Work (Attach additional sheets, as needed)							
<p>See attached scope of work.</p> <p>EPA Grants Specialist for this IAG is Felicia Tarver, 202-260-8277.</p> <p>Signed acceptance must be returned to address in block 29, page 3 of this EPA Form.</p> <p>Please send final copy of final agreement to Paige Kemmitt, Mail Code (2122)</p> <p style="text-align: center; border: 1px solid black; padding: 2px;">TRANSFERRED to EPA for DIRECT REPLY</p> <p style="text-align: center; border: 1px solid black; padding: 2px;">NO OBJECTION TO FULL RELEASE</p> <div style="text-align: right; margin-top: 20px;"> COMMITMENT VERIFIED Date: <u>8/2/94</u> By: <u>F. Tarver</u> </div>							
14. Statutory Authority for Both Transfer of Funds and Project Activities CLEAN AIR ACT: SEC. 103					15. Other Agency Type FEDERAL		
FUNDS		PREVIOUS AMOUNT		AMOUNT THIS ACTION		AMENDED TOTAL	
16. EPA Amount		457,600		30,000		487,600	
17. EPA In-Kind Amount		0		0		0	
18. Other Agency Amount		0		0		0	
19. Other Agency In-Kind Amount		0		0		0	
20. Total Project Cost		457,600		30,000		487,600	
21. Fiscal Information							
Program Element	FY	Appropriation	Doc. Control No.	Account Number	Object Class	Obligation/Deoblig. Amt.	
BHCSSA	94	684/50108	GC0021	4BHC41G041	25.07	5,000	
BHCSSA	94	684/50108	GC0031	4BHC41G043	25.07	25,000	

EPA-STATE IAG

SCOPE OF WORK

Background

The Intergovernmental Panel on Climate Change (IPCC) is the primary forum for international scientific discussions on the issue of climate change. The Intergovernmental Negotiating Committee for a Framework Convention on Climate Change (INC FCCC) is the forum in which governments negotiate international agreements for responding to climate change. The U.S. has ratified the Framework Convention on Climate Change and is an active member of both IPCC and the INC. The activities of both groups and U.S. participation therein is supported in part by voluntary annual contributions agreed on an interagency basis and processed by the State Department.

Tasks

1. Support for the preparation of documents and reports for meetings, conferences, and workshops of the IPCC and the INC FCCC.
2. Support for all administrative and logistical requirements involved in conducting these meetings, including the participation of developing countries.
3. Support for U.S. delegation participation in the IPCC and INC.
4. Support the INC special program to review national plans in cooperation with the Department of Energy and the Department of State.

Deliverables

Several documents produced by the IPCC and INC will be submitted to the Project Officers at meetings attended by EPA representatives.

Part II - Approved Budget		EPA IAG Identification Number DW19933945-01-9
22. Budget Categories	Itemization of This Action	Itemization of Total Project Estimated Cost to Date
(a) Personnel	0	0
(b) Fringe Benefits	0	0
(c) Travel	0	0
(d) Equipment	5,000	13,000
(e) Supplies	0	0
(f) Procurement / Assistance	0	0
(g) Construction	25,000	474,600
(h) Other	0	0
(i) Total Direct Charges	0	0
(j) Indirect Costs: Rate <u>0.00</u> % Base \$	30,000	487,600
(k) Total:	0	0
(EPA Share: <u>100.00</u> %) (Other Agency Share <u>0.00</u> %)	30,000	487,600
23. Is Equipment authorized to be furnished by EPA or leased, purchased, or rented with EPA funds? (Identify all equipment costing \$1000 or more.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
24. Are any of these funds being used on extramural agreements? (See Item 22f.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Type of extramural agreement <input type="checkbox"/> Grant <input type="checkbox"/> Cooperative Agreement <input checked="" type="checkbox"/> Procurement (Includes Small Purchase Order)		
Contractor / Recipient Name (If known) IPPC & INC	Total Extramural Amount under this Project 474,600	Percent Funded by EPA (If known) 100.00
Part III - Funding Methods and Billing Instructions		
25. <input checked="" type="checkbox"/> Funds-Out Agreement (Note: EPA Agency Location Code (ALC) - 68010727)		
<input checked="" type="checkbox"/> Disbursement Agreement		
<input checked="" type="checkbox"/> Repayment Request for repayment of actual costs must be itemized on SF-1080 and submitted to the Financial Management Office, Cincinnati, OH 45268: <div style="text-align: center;"> <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> Upon Completion of Work </div>		
<input type="checkbox"/> Advance Only available for use by Federal agencies on working capital fund or with appropriate justification of need for this type of payment method. Unexpended funds at completion of work will be returned to EPA. Quarterly cost reports will be forwarded to the Financial Management Center, EPA, Cincinnati, OH 45268.		
<input type="checkbox"/> Allocation Transfer-Out Used to transfer obligational authority or transfer of function between Federal agencies. Must receive prior approval by the Office of the Comptroller, Budget Division, Budget Formulation and Control Branch, EPA Hdqtrs. Forward appropriate reports to the Financial Reports and Analysis Branch, Financial Management Division, PM-226F, EPA, Washington, DC 20460.		
26. <input type="checkbox"/> Funds-In Agreement		
<div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Reimbursement Agreement <input type="checkbox"/> Allocation Transfer-In </div> <div> <input type="checkbox"/> Repayment <input type="checkbox"/> Advance </div> </div>		
Other Agency's IAG Identification Number	EPA Program Office Allowance Holder/Resp. Center No. 41G	
Other Agency's Billing Address (Include ALC or Station Symbol Number)	Other Agency's Billing Instruction and Frequency	

Part IV - Acceptance Conditions

EPA IAG Identification Number

DW19933945-01-9

27. General Conditions

The other agency covenants and agrees that it will expeditiously initiate and complete the project for which funds have been awarded under this agreement.

28. Special Conditions (Attach additional sheets if needed)

Remain the same

Part V - Offer and Acceptance

Note: 1) For Funds-out actions, the agreement/amendment must be signed by the other agency official in duplicate and one original returned to the Grants Administration Division for Headquarters agreements or to the appropriate EPA Regional IAG administration office within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. The agreement/amendment must be forwarded to the address cited in Item 29 after acceptance signature.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time may result in the withdrawal of the offer by EPA. Any change to the agreement/amendment by the other agency subsequent to the document being signed by the EPA Action Official, which the Action Official determines to materially alter the agreement/amendment, shall void the agreement/amendment.

2) For Funds-in actions, the other agency will initiate the action and forward two original agreements/amendments to the appropriate EPA program office for signature. The agreements/amendments will then be forwarded to the appropriate EPA IAG administration office for acceptance signature on behalf of the EPA. One original copy will be returned to the other agency after acceptance.

EPA IAG Administration Office (for administrative assistance)

EPA Program Office (for technical assistance)

29. Organization/Address

Grants Information and Analysis Branch
Grants Administration Division
US EPA (3903F)
401 M St., SW
Washington, DC 20460

30. Organization/Address

US EPA
Climate Change Division
Mail Code 2122
401 M St., SW
Washington, DC 20460

SIGNED ACCEPTANCE MUST BE RETURNED TO THIS OFFICE

Certification

All signers certify that the statements made on this form and all attachments thereto are true, accurate, and complete. Signers acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.

Decision Official on Behalf of the Environmental Protection Agency Program Office

31. Signature

Typed Name and Title

Date

Richard Morgenstern, Dir., OPA

7/13/94

Action Official on Behalf of the Environmental Protection Agency

32. Signature

Typed Name and Title

Date

W. Scott McMoran, Chief
Grants Information and Analysis Br.

8/3/94

Authorizing Official on Behalf of the Other Agency

33. Signature

Typed Name and Title

Date

Austin P. McHale
Deputy Executive Director, OES

8/11/94

United States Environmental Protection Agency Washington DC 20460 EPA		Interagency Agreement / Amendment Part I - General Information		1. EPA / IAG Identification Number DW19933945-01-7	4. Funding Location by Region XI	
				2. Other Agency ID Number	5. Program Office Abbreviation OPPE/HQ	
				3. Type of Action AUGMENTATION INCR.		
6. Name and Address of EPA Organization US ENVIRONMENTAL PROTECTION AGENCY OPPE/OPA/CCD (PM-221) 401 M STREET, S.W. WASHINGTON, DC 20460			7. Name and Address of Other Agency STATE DEPARTMENT OF ROOM 7821, OES/EX 2201 C ST NW WASHINGTON, DC 20520			
8. Project Title Support of the Intergovernmental Panel on Climate Change						
9. EPA Project Officer (Name, Address, Telephone Number) TIRPAK, DENNIS USEPA/OPPE/OPA/CCD (PM-221) 401 M STREET, S.W. WASHINGTON, DC 20460 (202) 260-8825			10. Other Agency Project Officer (Name, Address, Telephone Number) MCGRUDER, JOHN L., EXECUTIVE DIRECTOR DEPARTMENT OF STATE, ROOM 7821, OES/EX 2201 C STREET, N.W. WASHINGTON, DC 20520 (202) 647-3622			
11. Project Period 03/15/89 to 09/30/94			12. Budget Period 10/01/92 to 09/30/94			
13. Scope of Work (Attach additional sheets, as needed)						
Scope of Work unchanged. <div style="border: 1px solid black; padding: 5px; display: inline-block; margin: 10px;">NO OBJECTION TO FULL RELEASE</div>						
EPA Grants Specialist for this IAG is Felicia Tarver, 202-260-4392 <div style="border: 1px solid black; padding: 5px; display: inline-block; margin: 10px;">TRANSFERRED to EPA for DIRECT REPLY</div>						
Signed Acceptance for this agreement must be returned to address in block 29, page 3 of this EPA Form, before September 30, 1993.						
COMMITMENT VERIFIED. Date: 9/16/93 By: J. Tarver						
14. Statutory Authority for Both Transfer of Funds and Project Activities ECONOMY ACT OF 1932 AS AMENDED					15. Other Agency Type FEDERAL	
FUNDS	PREVIOUS AMOUNT	AMOUNT THIS ACTION	AMENDED TOTAL			
16. EPA Amount	179,600	95,000	274,600			
17. EPA In-Kind Amount	0	0	0			
18. Other Agency Amount	0	0	0			
19. Other Agency In-Kind Amount	0	0	0			
20. Total Project Cost	179,600	95,000	274,600			
21. Fiscal Information						
Program Element	FY	Appropriation	Doc. Control No.	Account Number	Object Class	Obligation/Deoblig. Amt.
(See continuation page)						

21. FISCAL (continued)

Program Element	FY	Appropriation	Doc. Control Number	Account Number	Object Class	Obligation / Deobligation
B3HS5A	93	683/40108	PB0094	3B3H13A000	25.06	13,500
BHCS5A	93	683/40108	GC0206	3BHC41G040	25.07	25,000
BYHA2H	93	683/40108	BE0201	3BYH58D003	25.07	20,000
CNRA1E	93	683/40107	W3R004	3CNR26W012	25.71	25,000
J3HS5A	93	682/30108	PB0107	BJ3H13AAAY	25.06	11,500

Part II - Approved Budget		EPA IAG Identification Number DW19933945-01-7
22. Budget Categories	Itemization of This Action	Itemization of Total Project Estimated Cost to Date
(a) Personnel	0	0
(b) Fringe Benefits	0	0
(c) Travel	0	0
(d) Equipment	0	0
(e) Supplies	0	0
(f) Procurement / Assistance	0	0
(g) Construction	95,000	274,600
(h) Other	0	0
(i) Total Direct Charges	0	0
(j) Indirect Costs: Rate <u>0.00 %</u> Base \$	95,000	274,600
(k) Total:	0	0
(EPA Share: <u>100.00 %</u>) (Other Agency Share <u>0.00 %</u>)	95,000	274,600
23. Is Equipment authorized to be furnished by EPA or leased, purchased, or rented with EPA funds? (Identify all equipment costing \$1000 or more.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
24. Are any of these funds being used on extramural agreements? (See Item 22f.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Type of extramural agreement <input type="checkbox"/> Grant <input type="checkbox"/> Cooperative Agreement <input checked="" type="checkbox"/> Procurement (Includes Small Purchase Order)		
Contractor / Recipient Name (if known) IPPC & INC 160's	Total Extramural Amount under this Project 274,600	Percent Funded by EPA (if known) 100.00
Part III - Funding Methods and Billing Instructions		
25. <input checked="" type="checkbox"/> Funds-Out Agreement (Note: EPA Agency Location Code (ALC) - 68010727)		
<input checked="" type="checkbox"/> Disbursement Agreement		
<input checked="" type="checkbox"/> Repayment Request for repayment of actual costs must be itemized on SF-1080 and submitted to the Financial Management Office, Cincinnati, OH 45268: <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> Upon Completion of Work		
<input type="checkbox"/> Advance Only available for use by Federal agencies on working capital fund or with appropriate justification of need for this type of payment method. Unexpended funds at completion of work will be returned to EPA. Quarterly cost reports will be forwarded to the Financial Management Center, EPA, Cincinnati, OH 45268.		
<input type="checkbox"/> Allocation Transfer-Out Used to transfer obligational authority or transfer of function between Federal agencies. Must receive prior approval by the Office of the Comptroller, Budget Division, Budget Formulation and Control Branch, EPA Hqtrs. Forward appropriate reports to the Financial Reports and Analysis Branch, Financial Management Division, PM-226F, EPA, Washington, DC 20460.		
26. <input type="checkbox"/> Funds-In Agreement		
<input type="checkbox"/> Reimbursement Agreement <input type="checkbox"/> Repayment <input type="checkbox"/> Allocation Transfer-In <input type="checkbox"/> Advance		
Other Agency's IAG Identification Number	EPA Program Office Allowance Holder/Resp. Center No. 26W	
Other Agency's Billing Address (Include ALC or Station Symbol Number)	Other Agency's Billing Instruction and Frequency	

Part IV - Acceptance Conditions

EPA IAG Identification Number
DW19933945-01-7

27. General Conditions

The other agency covenants and agrees that it will expeditiously initiate and complete the project for which funds have been awarded under this agreement.

28. Special Conditions (Attach additional sheets if needed)

Remain the same.

Part V - Offer and Acceptance

Note: 1) For Funds-out actions, the agreement/amendment must be signed by the other agency official in duplicate and one original returned to the Grants Administration Division for Headquarters agreements or to the appropriate EPA Regional IAG administration office within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. The agreement/amendment must be forwarded to the address cited in Item 29 after acceptance signature.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time may result in the withdrawal of the offer by EPA. Any change to the agreement/amendment by the other agency subsequent to the document being signed by the EPA Action Official, which the Action Official determines to materially alter the agreement/amendment, shall void the agreement/amendment.

2) For Funds-in actions, the other agency will initiate the action and forward two original agreements/amendments to the appropriate EPA program office for signature. The agreements/amendments will then be forwarded to the appropriate EPA IAG administration office for acceptance signature on behalf of the EPA. One original copy will be returned to the other agency after acceptance.

EPA IAG Administration Office (for administrative assistance)

29. Organization/Address

Grants Information and Analysis Branch
Grants Administration Division
U.S. EPA (3903F)
401 M Street, SW
Washington, D.C. 20460

SIGNED ACCEPTANCE MUST BE RETURNED TO THIS OFFICE.

EPA Program Office (for technical assistance)

30. Organization/Address

Climate Change Division
U.S. EPA
401 M Street, SW
Washington, D.C. 20460

Certification

All signers certify that the statements made on this form and all attachments thereto are true, accurate, and complete. Signers acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.

Decision Official on Behalf of the Environmental Protection Agency Program Office

31. Signature



Typed Name and Title

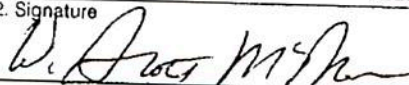
Richard D. Morgenstern, Director
Office of Policy Analysis

Date

2/6/93

Action Official on Behalf of the Environmental Protection Agency

32. Signature



Typed Name and Title

W. Scott McMoran, Chief, Grants
Information and Analysis Branch

Date

9/20/93

Authorizing Official on Behalf of the Other Agency

33. Signature



Typed Name and Title

John L. McGruder
Executive Director, Bureau of
Oceans & Int'l Env & Sci Affairs

Date

24 Sep 93



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

E27

NO OBJECTION TO FULL RELEASE

OFFICE OF
POLICY, PLANNING AND EVALUATION

TRANSFERRED to EPA for DIRECT REPLY

October 21, 1994

Update to USIJI Proposal Preparation
Workshop of September 21, 1994

Dear USIJI Stakeholder:

Thank you for your interest in the United States Initiative on Joint Implementation (USIJI). This letter augments the guidelines distributed in early September and the discussion at the September 21 Workshop on how to prepare proposals for the first round of the initiative. Generally, we anticipate that applicants will follow the guidelines that were distributed, but we recognize that in some areas the information that the proposers can provide may not be complete by November 4, 1994, when proposals for the first round are due. As noted below, we on the Secretariat intend to be flexible and will work with applicants on such portions of their proposals.

Submission Under the First Round Is Important - We urge all groups that are considering making a proposal in the first round to do so. It is our belief that submission of several successful proposals in the first round of the USIJI will be an important signal to the negotiators on Joint Implementation under the Convention on Climate Change that an effective international joint implementation program for all interested participating countries can be established. Not only will the lessons learned in conduct of projects be important in the negotiations, but also the level of participation in USIJI will be an important signal to all countries of the inherent value such projects can have for their economic development and for improved environmental conditions, including the limiting of greenhouse gas emissions.

Submit As Complete a Proposal As Is Reasonable - Since this is pilot program, we recognize that there is an inherent uncertainty concerning such issues as what will constitute a "complete" proposal and how certain project criteria will be interpreted by the evaluation panel. Given this uncertainty, some potential applicants may be considering waiting to a later round before submitting a proposal for USIJI. To reduce this inherent uncertainty and to convince prospective applicants to attempt to submit complete proposals, we wish to reiterate that we view the first evaluation round as one where the USIJI Secretariat and involved agencies will work with the applicants in areas where applicants encounter problems in meeting the required criteria.



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However, we can only be successful in doing this if applicants submit as complete a proposal as possible. We anticipate that some of these proposals will be sufficiently developed to immediately be evaluated as sufficient to be part of the first USIJI portfolio. Other proposals will be nearly complete and can benefit from some cooperative efforts between the Secretariat and the proposers.

Proposal Postmarked By November 4, 1994, Will Receive Highest Priority - Proposals not postmarked by November 4 will be evaluated on a schedule that we will announce later -- this schedule will be based on the recognition that the Panel and the Secretariat will be giving its priority efforts to proposals that were postmarked by November 4.

Certain Portions of Your Proposal May Be Less Than Complete - We anticipate that there are some areas in which many of the proposals can only report the status on topics because the actions to be taken to meet the USIJI criteria are not complete. For these topics, we expect the proposer will report on what has been done, what the proposer anticipates doing during the evaluation period, and what assistance the proposer would propose be provided by either the Panel and its Secretariat, or from a federal agency. The Panel is expected to conduct evaluations of incomplete topics in a flexible manner, evaluating the likelihood that the current status and the proposed further steps would result in a successfully completed proposal for meeting the specific criteria during the first half of 1995. Topics in which we envision many proposers may not have complete results include:

- obtaining formal acceptance by the appropriate agency of the host national government,
- provision of monitoring plans, and
- proposed method for verifying the project's accomplishments.

In each case where full adherence with the criteria is not met, then a detailed description of status and plans may be considered sufficient to meet the criteria as long as the proposer agrees to carry out the plans with reasonable diligence.

There is No Minimum Proposal Length - At the workshop, we discussed what might be the expected length of the proposal, and appropriate procedures to minimize both the proposal length and its preparation effort. There is no required length of the proposal. The information necessary for a complete evaluation should be provided as concisely as practical. We anticipate that in many cases, other generally available reports and data will be cited in the proposal and would not be reproduced as part of the proposal. In cases where the information is not generally available, we anticipate that the information be appended to the proposal.

Submission of Proposal - The mailing address for the proposals is:
USIJ Evaluation Panel
ATTN: Ted Williams and Dennis Tirpak
600 Maryland Avenue, S.W.
Suite 200 East
Washington, D.C. 20585

The Secretariat can be contacted for questions or information by telephone at (202) 426-0072. If you experience a problem in getting responsive information please call either of us directly:

- Telephone for Ted Williams: 202-586-2068
- Telephone for Dennis Tirpak: 202-260-8825

Further Information Available By Fax - Additional information on USIJ is now available through USIJ's FAX-on-Demand service at (202) 260-8677 (202-260-TOPS). We have enclosed the FAX-on-Demand menu with this letter. This is the quickest way to obtain any short documents, technical guidance, and program updates issued by the Secretariat, including the final groundrules and proposal guidelines.

We appreciate your comments and you keeping us aware of your questions and concerns with the program. USIJ is in the formative stage and is quite unlike traditional programs. We look forward to your participation in USIJ, either as project proposers or as supporting participants and stakeholders.

Sincerely,



Dennis A. Tirpak
USIJ Secretariat
Office of Policy, Planning and Evaluation
U.S. Environmental Protection Agency



Edward R. Williams
USIJ Secretariat
Office of Policy
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